# NGC 4435: a bulge-dominated galaxy with an unforeseen low-mass central black hole 

L. Coccato, ${ }^{1 \star}$ M. Sarzi, ${ }^{2}$ A. Pizzella, ${ }^{3}$ E. M. Corsini,,${ }^{3,4}$ E. Dalla Bontà ${ }^{3}$ and F. Bertola ${ }^{3}$

${ }^{1}$ Kapteyn Astronomical Institute, Postbus 800, 9700 AV Groningen, the Netherlands
${ }^{2}$ Physics Department, University of Oxford, Keble Road, Oxford OX1 3RH
${ }^{3}$ Dipartimento di Astronomia, Università di Padova, vicolo dell'Osservatorio 2, 35122 Padova, Italy
${ }^{4}$ Scuola Galileiana di Studi Superiori, via VIII Febbraio 2, 35122 Padova, Italy

Accepted 2005 November 11. Received 2005 November 10; in original form 2005 June 3


#### Abstract

We present the ionized gas kinematics of the SB0 galaxy NGC 4435 from spectra obtained with the Space Telescope Imaging Spectrograph. This galaxy has been selected on the basis of its ground-based spectroscopy, for displaying a position-velocity diagram consistent with the presence of a circumnuclear Keplerian disc rotating around a supermassive black hole (SMBH). We obtained the $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ kinematics in the galaxy nucleus along the major axis and two parallel offset positions. We built a dynamical model of the gaseous disc taking into account the whole bi-dimensional velocity field and the instrumental setup. For the mass of the central SMBH, we found an upper limit of $7.5 \times 10^{6} \mathrm{M}_{\odot}$ at the $3 \sigma$ level. This indicates that the mass of the SMBH of NGC 4435 is lower than the one expected from the $M_{\bullet}-\sigma_{\mathrm{c}}\left(5 \times 10^{7} \mathrm{M}_{\odot}\right)$ and near-infrared $M_{\bullet}-L_{\text {bulge }}\left(4 \times 10^{7} \mathrm{M}_{\odot}\right)$ relationships.


Key words: black hole physics - galaxies: individual: NGC 4435 - galaxies: kinematics and dynamics.

## 1 INTRODUCTION

Over the last decade, kinematical studies have proved the presence of a supermassive black hole (hereafter SMBH) in the centre of about 40 galaxies of different morphological types. For a variety of reasons, SMBHs are suspected to be present in the centre of all galaxies (see Ferrarese \& Ford 2005, for a review).

The census of SMBHs is now large enough to probe the links between the mass of SMBHs and the global properties of the host galaxies. The mass of SMBHs correlates with several properties of their hosting spheroid, such as the luminosity (Kormendy \& Richstone 1995; Magorrian et al. 1998; Marconi \& Hunt 2003), the central stellar velocity dispersion (Ferrarese \& Merritt 2000; Gebhardt et al. 2000), the degree of light concentration (Graham et al. 2001) and the mass (Häring \& Rix 2004). On the other hand, the SMBH masses do not correlate with the main properties of discs (Kormendy 2001), suggesting that the formation of SMBHs is linked only to the formation of the spheroidal component of galaxies. The recent finding of a new correlation between the central stellar velocity dispersion and the rotational circular velocity (Ferrarese 2002; Baes et al. 2003; Pizzella et al. 2005) indicates that the mass of the SMBH could also be related to the mass of the dark matter halo.

The mass of SMBHs in elliptical and disc galaxies seems to follow the same scaling relations. However, accurate measurements of SMBH masses are available only for 11 disc galaxies (Ferrarese \&
*E-mail: coccato@astro.rug.nl

Ford 2005), and the addition of new determinations for S 0 and spiral galaxies is highly desirable. Reliable mass estimates of SMBHs in disc galaxies have been derived from observations of stellar proper motions in the Milky Way (Ghez et al. 2003) and spatially resolved kinematics of water vapour masers (Miyoshi et al. 1995), ionized gas (see Barth 2004, for a review) and stars (see Kormendy 2004, for a review) in the other galaxies. It is worth noting that stellar proper motions can only be measured in our Galaxy, water masers are not common and both the other two techniques offer merits and limitations. Stellar dynamical models are powerful as they give information not only on the mass of the SMBH but also on the orbital structure of the galaxy. However, both observational and computational requirements are expensive. The study of the ionized gas kinematics represents a much easier way to trace the gravitational potential of galactic nuclei because the orbital structure of the gas can be assumed to have a simple form, namely the one corresponding to a nearly Keplerian velocity field. However, the gas is more susceptible to non-gravitational forces and is often found in non-equilibrium configurations. Therefore, the regularity of the ionized gas kinematics has to be verified observationally (Sarzi et al. 2001; Ho et al. 2002). The analysis of position-velocity diagrams (hereafter PVDs) of the emission-line spectra observed with ground-based spectroscopy allows the identification of those galaxies that are good candidates for hosting a circumnuclear Keplerian disc (hereafter CNKD) rotating around a central mass concentration (Bertola et al. 1998). Their PVDs are characterized by a sharp increase of the velocity gradient towards small radii, and the intensity distribution along the
line shows two symmetric peaks with respect to the centre (Rubin, Kenney \& Young 1997; Sofue et al. 1998; Funes et al. 2002). These objects are good candidates for a spectroscopic follow-up with the Hubble Space Telescope (HST).

In this paper, we present and model the ionized gas kinematics of the SB0 galaxy NGC 4435 which we measured in spectra obtained with the Space Telescope Imaging Spectrograph (STIS). This is one of the galaxies we selected on the basis of ground-based spectroscopy, for displaying a PVD consistent with the presence of a CNKD rotating around a SMBH (Bertola et al. 1998). The paper is organized as follows. The criteria of galaxy selection are presented in Section 2. STIS observations are described and analysed in Section 3. The resulting ionized gas kinematics and the morphology of the dust pattern are discussed in Section 4. An upper limit for the SMBH mass of NGC 4435 is derived in Section 5. Finally, results are discussed in Section 7.

## 2 SAMPLE SELECTION

Our sample is constituted by three disc galaxies, namely NGC 2179, NGC 4343 and NGC 4435. We considered them as interesting targets for STIS because ground-based spectroscopic observations already allowed the determination of an upper limit for their SMBH mass, $M_{\text {• (Bertola et al. 1998). Moreover, they are characterized by the }}$ value of $\sigma_{\mathrm{c}} \approx 150 \mathrm{~km} \mathrm{~s}^{-1}$. This $\sigma_{\mathrm{c}}$ value is lower than those of most of the galaxies so far studied with ionized gas dynamics and higher than the few galaxies studied by means of water masers (Ferrarese \& Ford 2005). For this reason, SMBH mass determinations in the proposed $\sigma_{\mathrm{c}}$ range would allow a better comparison between data obtained either with gas or with stellar dynamics. Finally, our sample galaxies belong to morphological types that are underrepresented in the sample of galaxies studied so far.

In this paper, we present only the results of NGC 4435 because it is the only galaxy of our sample with smooth and circularly symmetric dust lanes, and it is the only sample galaxy with a regular and symmetric velocity field of the ionized gas. This makes NGC 4435 an excellent candidate for the dynamical analysis. We defer discussion of both NGC 2179 and NGC 4343, as well as the estimate of the upper limit of their SMBH mass to a forthcoming paper (Corsini et al., in preparation).

NGC 4435 is a large $\left[2.8 \times 2.0 \operatorname{arcmin}^{2}\right.$; de Vaucouleurs et al. 1991 (hereafter RC3)] and bright [ $B_{T}=11.74$ (RC3)] early-type barred galaxy with intermediate inclination $\left(i=45^{\circ}\right.$, from RC3 following Guthrie 1992). It is classified $\mathrm{SB}^{0}{ }^{\circ}(\mathrm{s})$ and its total absolute magnitude is $M_{B}^{0}=-19.41$ (RC3), adopting a distance of 16 Mpc (Graham et al. 1999).

## 3 STIS OBSERVATIONS AND DATA REDUCTION

The long-slit spectroscopic observations of NGC 4435 were carried out with STIS on 2003 March (Prog. Id. 9068, Principal Investigator: F. Bertola). STIS mounted the G750M grating centred at $\mathrm{H} \alpha$ with the $0.2 \times 52 \operatorname{arcsec}^{2}$ slit. The detector was the SITe ${ }^{1}$ CCD with $1024 \times 1024$ pixel of $21 \times 21 \mu \mathrm{~m}^{2}$. No on-chip binning of the detector pixels yielded a wavelength coverage between about 6290 and $6870 \AA$ 泣h a reciprocal dispersion of $0.554 \AA$ pixel $^{-1}$. The instrumental resolution was $1.6 \AA$ [full width at half-maximum (FWHM)] corresponding to $\sigma_{\text {instr }} \simeq 30 \mathrm{~km} \mathrm{~s}^{-1}$ at $\mathrm{H} \alpha$. The spatial scale was $0.05071 \mathrm{arcsec} \mathrm{pixel}^{-1}$.

[^0]
### 3.1 Acquisition images

Four $H S T$ orbits were allocated for observing the galaxy. At the beginning of the first orbit, two images were taken with the F28X20LP long-pass filter to acquire the nucleus. The acquisition images have a field of view of $5.4 \times 5.4 \operatorname{arcsec}^{2}$ and a pixel scale of 0.05071 $\operatorname{arcsec}$. The exposure time was 40 s . The long-pass filter is centred at $7230 \AA$ and has a FWHM $=2720 \AA$. It roughly covers the $R$ band.

The first image was obtained by adopting for the nucleus the galaxy coordinates from the RC3 catalogue. The image was boxcar summed over a check box of $5 \times 5$ pixel to find the position of the intensity peak. The flux-weighted centre of the brightest check box was assumed to be coincident with the nucleus location. This was used to re-centre the nucleus and to obtain the second image. After determining the nucleus location, a small move was made to centre the nucleus in the slit. The acquisition images were bias subtracted, corrected for hot pixels and cosmic rays and flat-fielded using IRAF ${ }^{2}$ and the STIS reduction pipeline maintained by the Space Telescope Science Institute (STScI) (Brown et al. 2002). Image alignment and combination were performed using standard tasks in the sTSDAS package.
We used the resulting image to check the actual position of the slit during the spectroscopic observation, as discussed in Section 3.3 and shown in Fig. 1(a). Moreover, this image was analysed to map the dust distribution in the nuclear region of the galaxy. We constructed the unsharp-masked image using an identical procedure to Pizzella et al. (2002). We divided the image by itself after convolution by a circular Gaussian of width $\sigma=6$ pixel, corresponding to 0.30 arcsec. This technique enhances any surface-brightness fluctuation and noncircular structure extending over a spatial region comparable to the $\sigma$ of the smoothing Gaussian. The resulting unsharp-masked image of NGC 4435 is given in Fig. 1(b).

### 3.2 Long-slit spectra

The position angle of the major axis of NGC 4435 is $\mathrm{PA}=13^{\circ}$ (RC3). We took STIS spectra of NGC4435 with the slit centred on the galaxy nucleus and located along its major axis, and with the slit parallel to the galaxy major axis on each side of its nucleus with an offset of 0.25 arcsec . Following the target acquisition and peak up, three spectra were obtained with the slit along the major axis. Then, the slit was offset westwards by 0.25 arcsec and four spectra were obtained in the first offset position. Finally, the slit was offset eastwards by 0.5 arcsec and four spectra were obtained in the second offset position. Observations of internal line lamps were obtained during each orbit for wavelength calibration. At each slit position, subsequent spectra were shifted along the slit by five detector pixels in order to remove the bad pixels. The total exposure time for each slit position was balanced within the constraints of a predefined $H S T$ offsetting pattern. The log of the observations with details about the spectra obtained for NGC 4435 is given in Table 1.
The spectra were reduced using the standard STIS reduction pipeline. The basic reduction steps included overscan subtraction, bias subtraction, dark subtraction and flat-field correction. Subsequent reduction was performed using standard tasks in the sTSDAS package of IRAF. Different spectra obtained for the same slit position were aligned using IMSHIFT and knowledge of the adopted shifts along the slit position. Cosmic ray events and hot pixels were removed using the task LACOS_SPEC by van Dokkum (2001). Residual

[^1]

Figure 1. (a) HST STIS/F28X20LP acquisition image of NGC 4435. The image has been rotated to the STIS instrumental frame. Orientation and scale are given. The white cross is the position of the nucleus from STIS acquisition procedure. The rectangles overplotted on the image show the actual locations of the slit during the spectroscopic observations. Table 1 lists the offsets of slit position 1, 2 and 3 with respect to the location of the nucleus. (b) Unsharp masking of the acquisition image of NGC 4435. (c) Portions of the bi-dimensional STIS spectra of NGC 4435 obtained in position 1 (central panel), 2 (right-hand panel) and 3 (left-hand panel). The spectral region centred on the $\mathrm{H} \alpha$ emission line is shown after wavelength calibration, flux calibration and geometrical rectification. The spatial axis is horizontal and ranges between -3.2 and +3.2 arcsec, while the wavelength axis is vertical and ranges from 6547 to $6619 \AA$. Individual emission lines are identified using a key, such that [ $\mathrm{N}_{\text {II }}$ ( 6548 ), $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\text {II }}\right]$ (6583) correspond to [ $\left.\mathrm{N}_{\text {II }}\right] \lambda 6548, \mathrm{H} \alpha$ and $\left[\mathrm{NII}{ }_{\mathrm{II}} \lambda^{2} 6583\right.$, respectively. (d) $\mathrm{H} \alpha$ kinematics from the spectra of NGC 4435 obtained in position 1 (central panels), 2 (right-hand panels) and 3 (left-hand panels). For each slit position, the line-of-sight velocity curve (top panel), the radial profile of the line-of-sight velocity dispersion (uncorrected for instrumental velocity dispersion, middle panel) and the radial profile of line flux in arbitrary units (bottom panel) are given. Error bars are not plotted for clarity, but the typical errors are shown on the right-hand side of each plot. (e) Same as in (d), but for the [ $\mathrm{N}_{\text {II }}$ ] $\lambda 6583$ emission line.

Table 1. Log of the STIS observations of NGC 4435. The number of spectra, nominal and actual offset, position angle, total exposure time and observing date are listed for each slit position. Slit offsets are given with respect to the location of the target peak up.

| Pos. | Exp. | Nom. Off. <br> $(\operatorname{arcsec})$ | Act. Off. <br> $(\operatorname{arcsec})$ | PA <br> $\left({ }^{\circ}\right)$ | Exp. time <br> $(\mathrm{s})$ | Obs. date |
| :--- | ---: | ---: | ---: | :---: | :---: | ---: |
| 1 | 3 | 0 | +0.05 | 12.5 | 3012 | 2003 Mar 9 |
| 2 | 4 | -0.25 | -0.25 | 12.5 | 3574 | 2003 Mar 9 |
| 3 | 4 | +0.25 | +0.30 | 12.5 | 3584 | 2003 Mar 9 |
| 4 | 3 | 0 | -0.08 | 89.6 | 2673 | 1999 Apr 26 |

bad pixels were corrected by means of a linear one-dimensional interpolation using the data quality files and stacking individual spectra with imcombine. We performed wavelength and flux calibration as well as geometrical correction for two-dimensional distortion following the standard reduction pipeline and applying the X2D task. This task corrected the wavelength scale to the heliocentric frame too. The contribution of the sky was determined from the edges of the resulting spectra and then subtracted. The resulting major axis and offset spectra of NGC 4435 are plotted in Fig. 1(c).

We found in the HST archive three other spectra of NGC 4435 which were taken in 1999 (Prog. Id. 7361, PI H.-W. Rix) with the same setup we adopted for our observations. These spectra were obtained with the slit placed across the galaxy nucleus with a position angle close to the galaxy minor axis (see Table 1). We retrieved the spectra and reduced them as explained above. The spectrum obtained close to the minor axis of NGC 4435 is shown in Fig. 2(c).

### 3.3 Location of the slits

In our observing strategy, the $0.2-\operatorname{arcsec}$ slit is centred on the galaxy nucleus, and it is aligned along the direction of the columns of the acquisition image at the end of target acquisition and peak up. The two subsequent offsets were done by applying shifts of -0.25 (i.e. westwards) and +0.5 arcsec (i.e. eastwards) in the direction of the rows of the acquisition image. In the archival spectra, the slit is nominally centred on the galaxy nucleus.

We determined the actual location of the slits by comparing the light profile of the spectrum with the light profiles extracted from the acquisition image. We obtained the light profile of the spectrum by collapsing the spectrum along the wavelength direction over the spectral range between 6350 and $6800 \AA$. The comparison profiles were extracted from the acquisition image by averaging four adjacent columns. Each strip corresponds to a synthetic slit which is 0.2 arcsec wide. Each slit position was determined with a $\chi^{2}$ minimization of the ratio between the light profile of the spectrum and the light profile extracted from the acquisition image.

We found that the slit centres were misplaced with respect to their nominal position. The difference between the nominal and actual positions of the slit centre with respect to the intensity peak of the acquisition image is typically 1 STIS pixel. The locations of the slit are overlaid on the acquisition image in Figs 1(a) and 2(a), and the details about their positions are listed in Table 1.

### 3.4 Measurement of the emission lines

We derived the kinematics of the ionized gas component by measuring the $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ emission lines, which are the strongest lines of the observed spectral range.

For each spectrum, we extracted the individual rows out to a distance of about 2 arcsec from the slit centre. At larger radii, the intensity of the emission lines dropped off, and we therefore binned adjacent spectral rows until a line signal-to-noise ratio $S / N \geqslant 10$ was attained. On each single-row extraction, we determined the position, FWHM, and flux of the two emission lines by interactively fitting one Gaussian to each line plus a straight line to its local continuum. The non-linear least-squares minimization was done adopting the CURVEFIT routine in IDL. ${ }^{3}$ The centre wavelength of the fitting Gaussian was converted into heliocentric velocity in the optical convention $v=c z$. The Gaussian FWHM was converted into the velocity dispersion $\sigma$. The values of heliocentric velocity and velocity dispersion include no correction for inclination and instrumental velocity dispersion. Errors were calculated by taking into account Poisson noise, level of sky and continuum, readout noise and gain of the CCD. The noise associated with the sky level, continuum level and readout was estimated from a spectral region free of absorption and emission features after subtracting the fitted emission lines. For the archival spectrum of NGC 4435, we fitted only the [ $\mathrm{N}_{\mathrm{II}}$ ] 26583 line because the $\mathrm{H} \alpha$ line was so weak that little kinematic information could be derived from it.
Heliocentric velocities, velocity dispersions and fluxes measured from the $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ lines along the major and offset axes of NGC 4435 are plotted in Figs 1(d) and (e), respectively. Heliocentric velocities, velocity dispersions and fluxes measured close to the minor axis of NGC 4435 are given in Fig. 2(c).

## 4 IONIZED GAS KINEMATICS AND DUST MORPHOLOGY

The presence of a fairly well-defined nuclear disc of dust with relatively smooth and circularly symmetric dust rings and sharply defined edges is clearly visible in Fig. 1(b). It was first recognized in NGC 4435 by Ho et al. (2002).
We measured the $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ kinematics of NGC 4435 out to about 2 arcsec from the centre along the major and offset axes of NGC 4435 (Figs 1d and e). The H $\alpha$ and [N II] $\lambda 6583$ velocity curves are regular and consistent within the errors. However, strong discrepancies are observed along the central slit for $0 \lesssim r \lesssim$ 0.5 arcsec, and along the western offset position for $-1 \lesssim r \lesssim$ -0.5 arcsec . We measured consistent values of velocity dispersion from the $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ lines in spite of the larger scatter shown by the [ $\mathrm{N}_{\mathrm{II}}$ ] $\lambda 6583$ data.

We measured only the [ $\left.\mathrm{N}_{\text {II }}\right] \lambda 6583$ kinematics along the slit position close to the minor axis of NGC 4435 (Fig. 2c). The measurements extend out to $\sim 1.5$ arcsec along the eastern side and to $\sim 0.5$ arcsec along the western side, where the dust lanes are more prominent. The minor-axis velocity curve and velocity dispersion profiles are strongly asymmetric. Our velocities are in agreement within the error bars with those measured by Ho et al. (2002) by fitting simultaneously the $\mathrm{H} \alpha$ and the two [ $\mathrm{N}_{\mathrm{II}}$ ] emission lines.
We note that along all slit positions the gas kinematics obtained from the $\mathrm{H} \alpha$ and $\left[\mathrm{N}_{\text {II }}\right] \lambda 6583$ lines are too different to simply combine them in luminosity-weighted mean values. In particular, the $\mathrm{H} \alpha$ rotation is characterized by a shallower velocity gradient than $\left[\mathrm{N}_{\mathrm{II}}\right]$. Furthermore, most of the $\mathrm{H} \alpha$ flux appear to come from circumnuclear regions where low $\left[\mathrm{N}_{\mathrm{II}}\right] / \mathrm{H} \alpha$ ratios suggest star formation. On the other hand, the [ $\mathrm{N}_{\mathrm{II}}$ ] flux is much more concentrated towards the centre. Since the $\left[\mathrm{N}_{\mathrm{II}}\right]$ emission appears to probe better the nuclear

[^2]

Figure 2. Similar to Fig. 1, but for the archival spectrum of NGC 4435. The acquisition image of NGC 4435 with the slit location, a portion of the twodimensional STIS spectrum after data reduction and the [ $\mathrm{N}_{\mathrm{II}}$ ] $\lambda 6583$ kinematics are shown in panels (a), (b) and (c), respectively. In (a), the image orientation and scale are the same as in Fig. 1(a). In (c), the spatial axis ranges between -3.2 and +3.2 arcsec, and the wavelength axis ranges from 6549 to $6627 \AA$.
regions and is characterized by a simpler flux distribution than $\mathrm{H} \alpha$, we will take into account only the [ $\mathrm{N}_{\mathrm{II}}$ ] kinematics in our model.

NGC 4435 has smooth and circularly symmetric dust lanes as well as a regular and symmetric velocity field of the ionized gas. In contrast, the other two galaxies of our sample are characterized by an irregular kinematics and an irregular dust-lane morphology (Corsini et al., in preparation). This finding is in agreement with the results of Ho et al. (2002), that dust lanes can be used as relatively reliable predictor of the regularity of the gas kinematics in the nuclear regions of bulges. This makes NGC 4435 an ideal candidate for dynamical modelling.

## 5 DYNAMICAL MODEL

### 5.1 Velocity field modelling

### 5.1.1 Basic steps

A model of the gas velocity field is generated assuming that the ionized gas component is moving on to circular orbits in an infinitesimally thin disc located in the nucleus of NGC 4435 around the SMBH. The model is projected on to the sky plane according to the inclination of the gaseous disc. Finally, the model is 'observed' simulating as closely as possible the actual setup of STIS spectroscopic observations. The simulated observation depends on the width and the location (namely position angle and offset with respect to the centre) of each slit, STIS point spread function (PSF) and charge bleeding between adjacent CCD pixels. The mass of the SMBH is determined by finding the model parameters that produce the best match to the observed velocity curve. This modelling technique is similar to that adopted by Barth et al. (2001) and Marconi et al. (2003) to analyse STIS spectra obtained along parallel positions across the nucleus of NGC 3245 and NGC 4041, respectively.

### 5.1.2 Model calculation

Let $(r, \phi, z)$ be cylindrical coordinates and consider the gaseous disc in the $(r, \phi)$ plane with its centre in the origin. In the case of a spherical mass distribution, the gas circular velocity $v_{\mathrm{c}}$ at a given radius $r$ is
$v_{\mathrm{c}}(r)=\left[\frac{G M(r)}{r}\right]^{1 / 2}=\left[\left(\frac{M}{L}\right)_{\star} v_{\star}^{2}(r)+\frac{G M_{\bullet}}{r}\right]^{1 / 2}$,
where $M$ is the total mass enclosed by the circular orbit of radius $r$, $(M / L)_{\star}$ is the (constant) mass-to-light ratio of the stellar component (and dark matter halo) and $v_{\star}$ is the circular velocity of radius $r$
for a stellar component with $(M / L)_{\star}=1$. The radial profile of $v_{\star}$ is derived from the observed surface-brightness distribution in Section 5.2.

The velocity dispersion of the gaseous disc is assumed to be isotropic with a Gaussian radial profile
$\sigma(r)=\sigma_{0}+\sigma_{1} \mathrm{e}^{-r^{2} / 2 r_{\sigma}^{2}}$.
Unfortunately, $\mathrm{H} \alpha+\left[\mathrm{N}_{\mathrm{II}}\right]$ imaging at $H S T$ resolution is not available for NGC 4435. This prevented us from building a map of the surfacebrightness distribution of the ionized gas, as done for example in Barth et al. (2001). We therefore assume that the flux of the gaseous disc has an exponential radial profile
$F(r)=F_{0}+F_{1} \mathrm{e}^{-r / r_{F}}$.
We now project the velocity field of the gaseous disc on the sky plane. Let $(x, y, z)$ be Cartesian coordinates with the origin in the centre of the gas disc, $y$-axis aligned along the apparent major axis of the galaxy, and $z$-axis along the line of sight directed towards the observer. The sky plane is confined to the $(x, y)$ plane. If the gaseous disc has an inclination angle $i$ (with $i=0^{\circ}$ corresponding to the face-on case), at a given sky point with coordinates ( $x, y$ ), the observed gas velocity $v(x, y)$ is
$v(x, y)=v_{\mathrm{c}}(x, y) \sin i \cos \phi$,
where
$y=r \cos \phi$,
$r=\left(\frac{x^{2}}{\cos ^{2} i}+y^{2}\right)^{1 / 2}$.
We assume that the velocity distribution of the gas at position $(x, y)$ is a Gaussian with mean $v(x, y)$, dispersion $\sigma(x, y)$ and area $F(x, y)$.

We now take into account the slit orientation. Let $(\xi, \eta, \zeta)$ be Cartesian coordinates with the origin in the STIS focal plane, $\xi$ axis aligned with the direction of the slit width, $\eta$-axis aligned with the direction of the slit length and $\zeta$-axis along the line of sight directed towards the observer and crossing the centre of the gas disc. The STIS focal plane corresponds to the $(\xi, \eta)$ plane. The $(x, y)$ and $(\xi, \eta)$ coordinate systems are related by the transformation
$x=\xi \cos \theta-\eta \sin \theta$,
$y=\xi \sin \theta+\eta \cos \theta$,
where $\theta$ is the angle between the slit direction and the disc major axis.

At position $(\xi, \eta)$, the flux contribution due to gas with a line-ofsight velocity $v$ in the range $[v-\delta v / 2, v+\delta v / 2]$ is given by
$F(v \mid \xi, \eta)=\int_{v-\delta v / 2}^{v+\delta v / 2} \frac{F(\xi, \eta)}{\sigma(\xi, \eta) \sqrt{2 \pi}} \exp \frac{\left[v^{\prime}-v(\xi, \eta)\right]^{2}}{2 \sigma(\xi, \eta)^{2}} \mathrm{~d} v^{\prime}$,
where $\delta v$ is the velocity resolution of the model. For a given line-of-sight velocity $\hat{v}, F(\hat{v})$ is the 'monochromatic' image of the gas velocity field observed at $\lambda=\lambda_{0}(1+\hat{v} / c)$ for a rest-frame wavelength $\lambda_{0}$.

The line-of-sight velocity distribution (LOSVD) predicted by the model at position $(\xi, \eta)$ on the focal plane is
$S(v \mid \xi, \eta)=F(v \mid \xi, \eta) \otimes \operatorname{PSF}(\xi, \eta)$.
This takes into account the diffraction of light through the HST and STIS aperture.

For each position $\eta$ along the slit, the LOSVD predicted by the model is given by the contribution of all the points on the focal plane inside the slit
$S(v \mid \eta)=\int_{\xi_{\mathrm{c}}-w / 2}^{\xi_{\mathrm{c}}+w / 2} S\left[v+v_{\mathrm{d}} M_{\mathrm{a}}\left(\xi^{\prime}-\xi_{\mathrm{c}}\right) \mid \xi^{\prime}, \eta\right] \mathrm{d} \xi^{\prime}$,
where $\xi_{\mathrm{c}}$ is the $\xi$ position of the slit centre, $w$ is the slit width, $v_{\mathrm{d}}$ is the velocity bin along the wavelength direction in the spectral range of interest and $M_{\mathrm{a}}$ is the anamorphic magnification factor which accounts for the different scale in the wavelength and spatial direction on the focal plane. For STIS in the observed spectral range, $v_{\mathrm{d}}=25.2 \mathrm{~km} \mathrm{~s}^{-1}$ pixel $^{-1}$. The scale in the wavelength direction is 0.05477 arcsec pixel ${ }^{-1}$ and the scale in the spatial direction is $0.05071 \operatorname{arcsec}$ pixel $^{-1}$, thus $M_{\mathrm{a}}=0.93$ (Bowers \& Baum 1998). The velocity offset $v_{\mathrm{d}} M_{\mathrm{a}}\left(\xi-\xi_{\mathrm{c}}\right)$ is the shift due to the non-zero width of the slit and its projection on to the STIS CCD. The difference $\xi-\xi_{\mathrm{c}}$ is in pixel units since $v_{\mathrm{d}}$ is given in $\mathrm{km} \mathrm{s}^{-1}$ pixel $^{-1}$. The velocity offset accounts for the fact that the wavelength recorded for a photon depends on the position $\xi-\xi_{\mathrm{c}}$ at which the photon enters the slit along the $\xi$-axis (Barth et al. 2001; Maciejewski \& Binney 2001). This effect is sketched in Fig. 3.

We performed a summation over pixels rather than an integration of analytic functions. The model LOSVD and the STIS PSF were calculated on a subsampled pixel grid with the bin size of $\delta s=0.01268 \times 0.01268 \operatorname{arcsec}^{2}$ (i.e., a subsampling factor of $4 \times 4$ relative to the STIS pixel scale) and on a velocity grid with bin size of $\delta v=10 \mathrm{~km} \mathrm{~s}^{-1} . \delta s$ and $\delta v$ correspond to spatial and velocity resolution of the model calculation, respectively. In principle, smaller values for $\delta s$ and $\delta v$ could give a more accurate model calculation, but do not increase the result accuracy. The adopted values are the best comprise between good sampling and reasonable computational time ( 300 s on a 1-GHz personal computer). We generated the PSF for a monochromatic source at $6600 \AA$ using the TINY TIM package in IRAF (Krist \& Hook 1999). Convolution with the PSF is done using the fast Fourier transform algorithm (Press et al. 1992).

The model LOSVD was rebinned on a spatial grid with the bin size of $0.05701 \times 0.05701 \operatorname{arcsec}^{2}$ and on a velocity grid with the bin size of $v_{\mathrm{d}}=25.2 \mathrm{~km} \mathrm{~s}^{-1}$ to match the STIS pixel scale in the spatial and wavelength direction, respectively. For each slit position, the array of model LOSVDs forms a synthetic spectrum which is similar to the STIS spectrum. It was convolved with the CCD-charge diffusion kernel given by Krist \& Hook (1999) in order to mimic the bleeding of charges between adjacent STIS CCD pixels.

Finally, we analysed the synthetic spectrum as the STIS spectra and measured line-of-sight velocity $v_{\text {mod }}$, velocity dispersion $\sigma_{\bmod }$ and flux $F_{\text {mod }}$ as a function of radius.


Figure 3. Schematic representation of the velocity shift caused by the nonzero width of the slit. The spectra generated along the slit width in pixels of coordinates $\left(\xi_{1}, \eta\right),\left(\xi_{2}, \eta\right),\left(\xi_{3}, \eta\right)$ and $\left(\xi_{4}, \eta\right)$ are characterized by a velocity offset $\Delta v\left(\xi_{i}-\xi_{\mathrm{c}}\right)$, where $i=1,2,3,4$ and $\xi_{\mathrm{c}}$ corresponds to the slit centre. The resulting spectrum is $S(v \mid \eta)$.

### 5.2 Stellar component

To investigate the central mass concentration of NGC 4435, it is necessary to determine the contribution of the stellar component to the total potential (see equation 1 ).

### 5.2.1 Wide Field Planetary Camera 2 imaging

We retrieved Wide Field Planetary Camera 2 (WFPC2) images of NGC 4435 from the HST archive. Data for the filter F450W and F814W (Prog. Id. 6791, PI J. Kenney) were selected to determine the stellar light profile minimizing the effects of dust absorption. Two images are available for both filters. Total exposure times were 600 and 520 s with the F450W and F814W filter, respectively. All exposures were taken with the telescope guiding in fine lock, which typically gave an rms tracking error of 0.003 arcsec. We focused our attention on the Planetary Camera (PC) chip where the nucleus of the galaxy was centred for both the filters. This consists of $800 \times 800$ pixels of $0.0455 \times 0.0455 \operatorname{arcsec}^{2}$ each, yielding a field of view of about $36 \times 36 \operatorname{arcsec}^{2}$.

The images were calibrated using the standard WFPC2 reduction pipeline maintained by the STScI. Reduction steps including bias subtraction, dark current subtraction and flat-fielding are described in detail in Holtzman et al. (1995). Subsequent reduction was completed using standard tasks in the stSDAS package of IRAF. Bad pixels were corrected by means of a linear one-dimensional interpolation using the data quality files and the WFIXUP task. Different images of the same filter were aligned and combined using IMSHIFT and knowledge of the offset shifts. Cosmic ray events were removed using the task CRREJ. The cosmic ray removal and bad pixel correction were checked by inspection of the residual images between the cleaned and combined image and each of the original frames. Residual cosmic rays and bad pixels in the PC were corrected by manually editing the combined image with IMEDIT. The sky level ( $\sim 1$ count pixel ${ }^{-1}$ ) was determined from regions free of sources in the Wide Field chips and subtracted from the PC frame after appropriate scaling.

Flux calibration to Vega magnitudes was performed using the zero points by Whitmore (1995). To convert to standard $B$ and $I$ filters in the Johnson system, we estimated the colour correction using the synphot package of IRAF with the S0 spectrum from Kinney et al. (1996) as template. The colour corrections are $B-\mathrm{F} 450 \mathrm{~W}=$ +0.115 and $I-\mathrm{F} 814 \mathrm{~W}=-0.119$.

### 5.2.2 Correction of dust absorption

We attempted to correct the data for the effects of dust absorption using a method similar to the one described in Cappellari et al. (2002).

For each galaxy pixel, we measured the $B-I$ colour and we derived the length $a$ of the semimajor axis of its elliptical isophote. The average position angle $\left(\theta=17^{\circ}\right)$ and ellipticity $(\epsilon=0.66)$ of the dust features were derived from the analysis of the unsharpmasked STIS acquisition images as discussed in Section 5.3. We assumed that the intrinsic galaxy colour varies linearly as a function of radius. This assumption is justified by Fig. 4 which shows the intrinsic galaxy colour $(B-I)_{0}$ obtained as a straight line fit to the pixel colour as a function of $a$. For each pixel, we computed the colour excess $E(B-I)$ as difference between the measured colour $B-I$ and the intrinsic galaxy colour $(B-I)_{0}$ fitted at that radius. This allowed us to obtain a $E(V-I)$ map of the nuclear region of NGC 4435. The result is shown in Fig. 5. We computed the $A(I)=$ $0.558 E(B-I)$ using the standard Galactic extinction curve given by Cardelli, Clayton \& Mathis (1989) with the assumption that the observed colour gradient is due to dust rather than stellar population. We assumed that dust is distributed in an uniform screen in front of the galaxy, and we used the $A(I)$ map to correct the $I$-band image for extinction. The extinction-corrected image is shown in Fig. 5. We applied the $A(I)$ correction only to pixels with $|E(B-I)|$ above a given threshold. By comparing the pixel colour in the eastern and western sides of the galaxy, we defined the threshold as two times the standard deviation of the observed colour with respect to the intrinsic one. We calculated the threshold for $4<a<15 \operatorname{arcsec}$ and


Figure 4. Calibrated Johnson $B-I$ colour for every pixel in the WFPC2 image of NGC 4435 as a function of its elliptical radius $a$. Black and grey (red in online version) dots refer to pixels on the eastern and western side of the galaxy, respectively. The thick and two thin continuous lines correspond to fitted intrinsic colour $(B-I)_{0}$ and $E(B-I)$ thresholds, respectively. Thresholds have been derived for pixels at $4<a<15 \operatorname{arcsec}$ and extrapolated to the innermost radii.
extrapolated it for $a \leqslant 4$ arcsec to account for the increasing dust absorption at smaller radii (Fig. 4).

This method corrects the major effects of patchy dust absorption. Nevertheless, the dust disc is still visible in the corrected image, although it is much less optically thick than in the original one.

### 5.2.3 Stellar density profile

Surface photometry was derived on the $I$-band extinction-corrected image by performing an isophotal analysis with the IRAF task ELLIPSE. We derived the isophotal profiles of the galaxy by first masking out the remaining dust patches and then fitting ellipses to the isophotes. We allowed the centres of the ellipses to vary, to test whether the light distribution in the galaxy nucleus was still affected by dust obscuration. Since we found some evidence of variations in the fitted centre, the ellipse fitting was repeated with the ellipse centres fixed to the location found for the outermost isophotes. The resulting azimuthally averaged surface brightness, ellipticity and position angle radial profiles are presented in Fig. 6.

The isophotes of the masked image are quite circular with $\epsilon \lesssim$ 0.3 (Fig. 6). For $r<9 \mathrm{pc}$ ( 2.5 pixel), the ellipticity is poorly estimated due to the limited pixel sampling. This allowed us to treat the surface-brightness distribution as circularly symmetric, and to assume the stellar density distribution as spherically symmetric. This approximation is sufficient to estimate the mass-to-light ratio in the radial range, where the ionized gas kinematics probes the galaxy potential.

We derived the radial profile of the deprojected stellar luminosity density $\Gamma(r)$ from the radial profile of the observed surfacebrightness profile $\Sigma(R)$. The intrinsic surface-brightness profile of the galaxy $S(R)$ and the image PSF were modelled as a sum of Gaussian components using the multi-Gaussian expansion (MGE hereafter) described by Monnet, Bacon \& Emsellem (1992) as done by Sarzi et al. (2001). The PSF for the WFPC2/F814W image was generated using the TINY TIM package in IRAF (Krist \& Hook 1999). The multi-Gaussian $S(R)$ was convolved with the multi-Gaussian PSF and then compared with $\Sigma(R)$ to obtain optimal scaling coefficients for the Gaussian components. The Gaussian width coefficients were constrained to be a set of logarithmically spaced values, thus simplifying the MGE into a general non-negative least-squares problem for the corresponding Gaussian amplitudes. For a spherical light distribution, the MGE method leads to a straightforward deprojection of $S(R)$ into the deprojected stellar luminosity density $\Gamma(r)$, which can also be expressed as the sum of the set of Gaussians.

For a spherical mass distribution and a radially constant mass-tolight ratio, $(M / L)_{\star}$, the stellar mass density $\rho(r)$ can be expressed as $\rho(r)=(M / L)_{\star} \Gamma(r)$. It is the sum of spherical mass components whose potential can be computed in terms of error functions. The circular velocity $v_{\star}(r)$ to be used in equation (1) is derived assuming $(M / L)_{\star}=1$. The multi-Gaussian fit to observed surface-brightness profile is shown in Fig. 7 along with the recovered luminosity density profile, and the corresponding circular velocity curve for $(M / L)_{\star}=1$.

We note that since both $B$ - and $I$-band images are affected by dust, the $B-I$ maps can only deal a limited description for the dust distribution. Unfortunately, near-infrared (near-IR) images of high spatial resolution for NGC 4435 do not exist. The use of such images would likely lead us to find a larger amount of dust absorption than presently estimated. Hence, we are currently underestimating the contribution of the stars to the total mass, and overrating the SMBH


Figure 5. Dust correction of the WFPC2/F814W image of NGC 4435. Left-hand panel: observed WFPC2/F814W image after calibration to Johnson $I$ band. Middle panel: map of the colour excess $E(B-I)$. Right-hand panel: extinction-corrected $I$-band image. The field of view is $10.6 \times 10.6$ arcsec ${ }^{2}$ and the colour scale is given in magnitudes.


Figure 6. Radial profiles of Johnson $I$-band surface brightness (top panel), ellipticity (middle panel) and position angle (bottom panel) measured on the extinction-corrected image of NGC 4435.
mass. The use of the $B-I$ colour will therefore not affect our conclusions.

### 5.3 Orientation of the gaseous disc

The prediction of the observed gas velocity field for NGC 4435 depends on the orientation of the nuclear gaseous disc, which can be different from that of the main galaxy disc.

We therefore modelled the gas kinematics by constraining the position angle, $\theta$, and inclination, $i$, of the gaseous disc under the assumption that the dust lanes are a good tracer of the orientation of the gaseous disc (Sarzi et al. 2001). We estimated $\theta$ and $i$ by defining ellipses consistent with the morphology of the dust pattern (as observed in Fig. 1b) and assuming that dust lanes are circularly symmetric. Although the strength of the dust lanes varies


Figure 7. Deprojection steps for the stellar mass profile of NGC 4435. Top panel: multi-Gaussian fit to observed surface-brightness profile. Middle panel: deprojected stellar luminosity density profile. Bottom panel: circular velocity curve that results if the stellar mass and luminosity density are proportional to $(M / L)_{\star}=1$. In each panel, the dashed lines correspond to the $1 \sigma$ confidence limits.
spatially, it is possible to identify the two most conspicuous ones by visual inspection of the unsharp-masked version of the STIS acquisition image. To constrain the orientation of the gaseous disc, we selected two of these features. The inner one has a semimajor axis of $\sim 2$ arcsec, which corresponds to the maximum extent of our kinematic measurements, and the outer one marks out the edge of the dust pattern on the western side of the galaxy.

In each row of the unsharp-masked acquisition image, we determined the position of the two main dust lanes by interactively fitting one Gaussian to each absorption feature plus a straight line to its local starlight continuum on both sides of the nucleus. Once the position of the dust lanes was determined, we fitted them with two ellipses with same centre (Fig. 8). The non-linear least-squares


Figure 8. The orientation of the gaseous disc of NGC 4435. Diamonds and crosses corresponding to the points of the inner and outer dust lanes are overplotted on Fig. 1(b). Absorption features are brighter in this unsharp-masked image. The best-fitting ellipses of the inner and outer dust lanes and their position angles are shown with solid ellipses and solid lines, respectively. The dashed ellipses and dashed lines enclose the $1 \sigma$ confidence ranges on best-fitting ellipticity and position angle for both the isophotes.
minimization was done adopting the CURVEFIT routine in IDL. The ellipses have different position angles ( $\theta_{\text {in }}=17.0 \pm 1.0, \theta_{\text {out }}=13.8$ $\pm 0.5$ ) but same ellipticity ( $\epsilon_{\text {in }}=\epsilon_{\text {out }}=0.66 \pm 0.03$ ). The different position angles of the two ellipses can be interpreted as indicative of a slightly warped gaseous disc, but this does not affect the final results of the dynamical model as discussed in Section 5.4. We assumed for the gaseous disc $\theta=17^{\circ} \pm 1^{\circ}$ and $i=70^{\circ} \pm 2^{\circ}$ since the measured kinematics are encircled by the ellipse corresponding to the inner dust lane.

### 5.4 Best-fitting model

The parameters in our model are the mass $M_{\bullet}$ of the SMBH, the mass-to-light ratio $(M / L)_{\star}$ of the stellar component (and dark matter halo), the inclination $i$ and the position angle $\theta$ of the gaseous disc, the parameters $\sigma_{0}, \sigma_{1}$ and $r_{\sigma}$ of the Gaussian radial profile of the intrinsic velocity dispersion of the gas (see equation 2) and the parameters $F_{0}, F_{1}$ and $r_{F}$ of the exponential radial profile of the gas flux (see equation 3). Given the large number of parameters, it is highly desirable to constrain as many as possible of them. We started by fixing the orientation of the gaseous disc using the results of the analysis of the dust lanes of Section 5.3. We therefore assumed $\theta=$ $17^{\circ}$ and $i=70^{\circ}$ to model the gas velocity field.

We initially considered a grid of models in which every point is determined by $M_{\bullet}$ and $(M / L)_{\star}$. For every model in this grid, we explored several combinations for $\sigma_{0}, \sigma_{1}, r_{\sigma}, F_{0}, F_{1}$ and $r_{F}$ in order to match the observations. This preliminary analysis revealed that the predicted flux profile does not depend on the input values of $M$. and $(M / L)_{\star}$, while the velocity dispersion profile depends only on the adopted value of $M$. The flux parameters need to be adjusted only if different disc orientations are considered. This means that we

Table 2. Parameters of the velocity dispersion radial profile adopted in models with $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}, \theta=17^{\circ}, i=70^{\circ}, F_{0}=0.08$ and $F_{1}=0.61$ in arbitrary units and $r_{F}=10 \mathrm{pc}$.

| $M_{\bullet}$ <br> $\left(10^{7} \mathrm{M}_{\odot}\right)$ | $\sigma_{0}$ <br> $\left(\mathrm{~km} \mathrm{~s}^{-1}\right)$ | $\sigma_{1}$ <br> $\left(\mathrm{~km} \mathrm{~s}^{-1}\right)$ | $r_{\sigma}$ <br> $(\mathrm{pc})$ |
| :--- | :---: | :---: | :---: |
| 0.00 | 40 | 109 | 7.8 |
| 0.25 | 40 | 104 | 7.9 |
| 0.50 | 40 | 103 | 7.8 |
| 0.75 | 40 | 96 | 7.9 |
| 1.00 | 40 | 99 | 7.7 |
| 1.25 | 40 | 89 | 8.0 |
| 4.0 | 39 | 13 | 2.8 |
| 5.0 | 41 | 12 | 3.9 |

can adopt the same flux parameters ( $F_{0}, F_{1}$ and $r_{F}$ ) for every point of the grid, but we need to adjust the velocity dispersion parameters ( $\sigma_{0}, \sigma_{1}$ and $r_{\sigma}$ ) depending on the value of the black hole mass $M_{\text {. }}$ Therefore, we can find the optimal values for $F_{0}, F_{1}$ and $r_{F}$ at any position in the $M_{\bullet}-(M / L)_{\star}$ grid, by minimizing the $\chi_{F}^{2}=$ $\sum\left(F-F_{\mathrm{mod}}\right)^{2} / \delta F^{2}$, where $F \pm \delta F$ and $F_{\text {mod }}$ are the observed and the corresponding model flux, respectively, along the major axis (the other slit positions are not reproducible). The best-fitting values are $F_{0}=0.08$ and $F_{1}=0.61$ in the adopted arbitrary units and $r_{F}=10 \mathrm{pc}$. The velocity dispersion parameters need to be optimized for every value of the $M_{\bullet}$ in the grid, by minimizing the $\chi_{\sigma}^{2}=\sum\left(\sigma-\sigma_{\mathrm{mod}}\right)^{2} / \delta \sigma^{2}$, where $\sigma \pm \delta \sigma$ and $\sigma_{\text {mod }}$ are the observed and the corresponding model velocity dispersion along the different slit positions, respectively. The values of the adopted parameters for different $M_{\bullet}$ values are listed in Table 2. Although the velocity dispersion parameters depend only mildly on the disc orientation, we changed them when considering different orientations from the one traced by the dust lanes (Section 6.2).

We then explored a grid of models with $0 \leqslant M_{\bullet} \leqslant 1.25 \times 10^{7} \mathrm{M}_{\odot}$ and $2.0 \leqslant(M / L)_{\star} \leqslant 2.35(\mathrm{M} / \mathrm{L})_{\odot}$, where at every point of the grid we now use the optimized parameters for the flux and velocity dispersion obtained before. For each model, we calculated $\chi^{2}=$ $\sum\left(v-v_{\mathrm{mod}}\right)^{2} / \delta^{2}(v)$, where $v \pm \delta(v)$ and $v_{\text {mod }}$ are the observed and the corresponding model velocity along the different slit positions, respectively. The best model has $\chi^{2}=955$ and a reduced $\tilde{\chi}^{2}=$ 5.2. The best-fitting model requires no $M_{\bullet}$ and is compared to the observed [ $\mathrm{N}_{\mathrm{II}}$ ] $\lambda 6583$ kinematics in Fig. 9. As we cannot reproduce the observed wiggles and asymmetries, which could be due to the patchiness of the surface-brightness distribution of the gas (Barth et al. 2001), in a strict $\chi^{2}$ sense our best match is not a good model. Before deriving confidence limits on $M_{\bullet}$ and $(M / L)_{\star}$, we therefore need to allow for the velocity structures that are not reproduced by rescaling all $\chi^{2}$ values in order for the best $\chi^{2}$ to match the number of degrees of freedom $N-M$, where $N=184$ is the number of observed points and $M=2$ is the number of parameters in our model. Note that this procedure is equivalent to allowing for an additional source of error in our data and leads to more conservative confidence intervals. Fig. 10 shows $1 \sigma, 2 \sigma$ and $3 \sigma$ confidence levels on $M_{\bullet}$ and $(M / L)_{\star}$ alone, according to the $\Delta \chi^{2}$ variations expected for one parameter (i.e. 1, 3 and 9; Press et al. 1992). Fig. 11 shows similar confidence regions for $M_{\bullet}$ and $(M / L)_{\star}$ jointly, according to the $\Delta \chi^{2}$ variation expected for two parameters (i.e. 2.4, 6.2 and 11.8). For the SMBH in NGC 4435, we derived an upper limit $M_{\bullet} \leqslant 7.5 \times 10^{6} \mathrm{M}_{\odot}$ at $3 \sigma$ confidence level. The mass-to-light ratio is $(M / L)_{\star}=2.20_{-0.12}^{+0.10}$ at $3 \sigma$ confidence level. The sampling of the grid in the parameter spaces is $2.5 \times 10^{6} \mathrm{M}_{\odot}$ for the SMBH


Figure 9. Observed [ $\mathrm{N}_{\text {II }}$ ] $\lambda 6583$ kinematics (filled circles) along with the best-fitting model (solid line) and model predictions for the SMBH masses of NGC 4435 derived in Section 5.4 from the $M_{\bullet}-\sigma_{c}$ relation by Ferrarese \& Ford (2005, $M_{\bullet}=5 \times 10^{7} M_{\odot}$, dotted line) and from the near-IR $M_{\bullet}-L_{\text {bulge }}$ relation by Marconi et al. (2003a, $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$, dashed line). The observed and modelled velocity curve (top panel), velocity dispersion radial profile (middle panel) and flux radial profile (bottom panel) are shown for slits along the major axis (slit 1 , upper left-hand panels), close to the minor axis (slit 4 , upper right-hand panel), along the eastern offset (slit 3, lower left-hand panel) and western offset (slit 2, lower right-hand panel).
mass, and $0.05(\mathrm{M} / \mathrm{L})_{\odot}$ for the mass-to-light ratio. The previous results do not change significantly if we use a grid with a smaller sampling step.
As stated before, according to the $\chi^{2}$ analysis the model is not sophisticated enough to reproduce the data wiggles. However, to mitigate this problem we can rebin the kinematics in the outermost regions (beyond $|R|>0.5 \mathrm{arcsec}$, where the influence of the SMBH is negligible). The errors on every rebinned data point were computed as the semi-difference between the maximum value and the minimum value in the bin. This represents a more reliable estimate of the velocity error with respect to the error derived from the fitting procedure, which takes into account the presence of the wiggles. We tried several binnings on the observed data in order to obtain the lower value of the reduced $\chi^{2}$. Using this approach,
we can reach a reduced $\chi^{2}=1.46$, with negligible impact on the previous results. The best model still requires no SMBH and the $3 \sigma$ upper limit is now even tighter ( $6 \times 10^{6} \mathrm{M}_{\odot}$ ), a consequence of the augmented relative weight in the $\tilde{\chi}^{2}$ of the data close to the centre, where the difference between models with different $M_{\bullet}$ is the greatest.

Finally, to account for the uncertainty in the estimate of the inclination and position angle of the gaseous disc, we calculated the best-fitting values of $M_{\bullet}$ and $(M / L)_{\star}$ by building a grid of models of the gas velocity fields with $16^{\circ} \leqslant \theta \leqslant 18^{\circ}$ and $68^{\circ} \leqslant i \leqslant 72^{\circ}$. We fitted for each disc orientation the velocity dispersion and flux radial profiles measured along the major axis. The largest mass for SMBH is $M_{\bullet} \leqslant 8 \times 10^{6} \mathrm{M}_{\odot}$ at $3 \sigma$ confidence level to find the optimized values for $F_{0}, F_{1}, r_{F}, \sigma_{0}, \sigma_{1}$ and $r_{\sigma}$. The mass-to-light ratio ranges


Figure 10. $\chi^{2}$ as a function of $M_{\bullet}$ (left-hand panel) and $(M / L)_{\star}$ (righthand panel). The dotted horizontal lines indicate the confidence levels on the best-fitting values of $M_{\bullet}=0 \mathrm{M}_{\odot}$ and $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}$.


Figure 11. $\chi^{2}$ grid for models with different values of mass-to-light ratio and SMBH mass values. The continuous lines are the confidence levels on the best-fitting values of $M_{\bullet}=0 \mathrm{M}_{\odot}$ and $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}$.
between $(M / L)_{\star}=2.15_{-0.12}^{+0.09}$ and $2.25_{-0.13}^{+0.14}$ at $3 \sigma$ confidence level. These values are consistent within the errors with the previously found values.

## 6 COMPARISON WITH THE PREDICTIONS OF THE NEAR-IR $M_{\bullet}-L_{\text {bulge }}$ AND $\boldsymbol{M}_{\bullet}-\sigma_{\mathrm{c}}$ RELATIONS

In order to compare our SMBH mass determination for NGC 4435 with the predictions of the near-IR $M_{\bullet}-L_{\text {bulge }}$ relation by Marconi \& Hunt (2003), we retrieved the Two-Micron All-Sky Survey (2MASS) $H$-band images from the NASA/IPAC Infrared Science

Archive. The galaxy image was reduced and flux calibrated with the standard 2MASS extended source processor GALWORKS (Jarrett et al. 2000). We chose the $H$-band image since it is characterized by lower sky noise with respect to the $J$ - and $K$-band ones.

We performed a bi-dimensional photometric decomposition using a Sérsic law for the bulge component and an exponential law for the disc component and taking into account seeing smearing. We adopted the decomposition technique developed by Mendez Abreu, Corsini \& Aguerri (2004). The best-fitting parameters are $n=2.39$, $r_{\mathrm{e}}=11.14 \operatorname{arcsec}, \mu_{\mathrm{e}}=16.10 \mathrm{mag} \operatorname{arcsec}^{-2}$ and $(b / a)_{\mathrm{b}}=0.64$ for the bulge and $h=14.41 \mathrm{arcsec}, \mu_{0}=18.15 \mathrm{mag}^{2} \operatorname{arcsec}^{-2}$ and $(b / a)_{\mathrm{d}}=0.60$ for the disc. The typical error on each parameter is $<20$ per cent. The total bulge luminosity is $L_{H}=2.8 \times 10^{10} \mathrm{~L}_{\odot}$, which corresponds to a $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ following Marconi \& Hunt (2003). The presence of the bar in NGC 4435 is enhanced in the model-subtracted image (Fig. 12). However, it has to be noticed that the bar component dominates the surface-brightness distribution of NGC 4435 at a larger radial scale with respect to the extension of STIS kinematics. We conclude that the effects of the bar on the observed kinematics are negligible in the innermost 2 arcsec.

Several authors report different values for the central stellar velocity dispersion in NGC 4435.

Both Bernardi et al. (2002) and Tonry \& Davis (1981) reported a value of $\sigma_{\mathrm{c}}=174 \pm 16 \mathrm{~km} \mathrm{~s}^{-1}$, whereas Simien \& Prugniel (1997) measured a value of $\sigma_{\mathrm{c}}=156 \pm 7 \mathrm{~km} \mathrm{~s}^{-1}$. The Hypercat data base also lists an unpublished value of $165 \pm 6 \mathrm{~km} \mathrm{~s}^{-1}$ by Prugniel \& Simien.

In order to be conservative, we adopted the value $\sigma_{\mathrm{c}}=156 \pm$ $7 \mathrm{~km} \mathrm{~s}^{-1}$ from Simien \& Prugniel (1997). Using the correction proposed by Jorgensen, Franx \& Kjaergaard (1995), we derived the velocity dispersion that would have been measured within a circular aperture of $1 / 8 r_{\mathrm{e}}$, where $r_{\mathrm{e}}$ is the bulge effective radius derived from our photometric decomposition. We found $\sigma_{1 / 8}=157 \mathrm{~km} \mathrm{~s}^{-1}$. According to the most recent version of the $M_{\bullet}-\sigma_{c}$ relation (Ferrarese \& Ford 2005), the expected SMBH mass corresponding to this value of $\sigma_{\mathrm{c}}$ is $5 \times 10^{7} \mathrm{M}_{\odot}$. We note that the other published values of $\sigma_{\mathrm{c}}$ would lead to an even larger black hole mass for NGC 4435.

In both cases, the upper limit found in our model is significantly below the prediction of these two scaling relations. In Fig. 9, we show the comparison between the observed kinematics, the bestfitting model and models adopting the prediction of the near-IR $M_{\bullet}-L_{\text {bulge }}$ (Marconi \& Hunt 2003) and $M_{\bullet}-\sigma_{\mathrm{c}}$ (Ferrarese \& Ford 2005) relationships, where the intrinsic velocity dispersion profiles were adjusted accordingly. The bigger discrepancies between the observed and predicted velocity fields are found in the innermost $\pm 0.25 \mathrm{arcsec}$. In Fig. 13, we report the position of the upper limit of the $M_{\bullet}$ of NGC 4435 in the $M_{\bullet}-\sigma_{\mathrm{c}}$ and near-IR $M_{\bullet}-L_{\text {bulge }}$ relations.

### 6.1 Uncertainties due to the signal-to-noise ratio

One important point to investigate is if the $S / N$ ratio of our spectra is sufficiently high to exclude the presence of a SMBH with the mass predicted by the scaling relations. If it is not the case, the central velocity gradient is washed out by the noise and it is not possible to detect the presence of a SMBH.

To exclude this possibility, we measured the noise level in the observed spectra as the rms of the counts in spectral regions free of emission lines. This noise has been added to the model spectra in order to mimic the $S / N$ ratio of the observations. Fig. 14 shows that the signature of a SMBH with $M_{\bullet} \geqslant 4 \times 10^{7} \mathrm{M}_{\odot}$ is clearly visible in the modelled major-axis spectrum which looks


Figure 12. Two-dimensional photometric decomposition of the surface-brightness distribution of NGC 4435. Left-hand panel: background- and star-subtracted $H$-band image of NGC 4435 from the 2MASS archive. Central panel: galaxy model as derived from the photometric decomposition. The bulge is modelled with a Sérsic law ( $n=2.39, R_{\mathrm{e}}=11.14 \operatorname{arcsec}, \mu_{\mathrm{e}}=16.10 \mathrm{mag}_{\operatorname{arcsec}}{ }^{-1}$ and $b / a=0.64$ ); the disc is modelled with an exponential law $\left(h=104.41 \operatorname{arcsec}, \mu_{0}=\right.$ $18.15 \mathrm{mag}_{\operatorname{arcsec}}{ }^{-1}$ and $b / a=0.60$ ). Right-hand panel: residuals of the model. Images are $3 \times 3 \mathrm{arcmin}^{2}$.


Figure 13. Location of the upper limit value of the SMBH mass of NGC 4435 with respect to the $M_{\bullet}-\sigma_{c}$ relation by Ferrarese \& Ford (2005; left-hand panel) and near-IR $M_{\bullet}-L_{\text {bulge }}$ relation by Marconi \& Hunt (2003; right-hand panel). In the left-hand panel, following Ferrarese \& Ford (2005), we plot the SMBH masses based on resolved dynamical studies of ionized gas (open circles), water masers (open squares) and stars (filled circles). The upper limit of the SMBH mass of NGC 4335 (Verdoes Kleijn et al. 2002) has been included for the sake of comparison (see Section 7). In the right-hand panel, we plot the SMBH masses for which the $H$-band luminosity of the host spheroid is available in Marconi \& Hunt (2003). In both panels, dotted lines represent the $1 \sigma$ scatter in $M_{\bullet}$.
different from the observed one. We conclude that the velocity gradient corresponding to $M_{\bullet} \geqslant 4 \times 10^{7} M_{\odot}$ could be measured in the velocity profiles of the observed spectra.

### 6.2 Uncertainties due to the disc orientation

The upper limit we derived for $M_{\bullet}$ depends on the two assumptions we made on the orientation of the gaseous disc, i.e. the morphology of dust lanes is a good tracer of the orientation of the gaseous disc, and the gaseous disc is not warped.

We test if the presence of a SMBH with a mass of $M_{\bullet}=4$ $\times 10^{7} \mathrm{M}_{\odot}$ (i.e. consistent with the near-IR $M_{\bullet}-L_{\text {bulge }}$ relation) is consistent with the major-axis kinematics assuming for the gaseous disc a different geometrical configuration with respect to the one we derived from the dust-lanes analysis. We explore the space of parameters $\theta$ and $i$ by building a grid of models of the gas velocity
fields with $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ and $(M / L)_{\star}=2.2$. For every value of $\theta$ and $i$, we use different values of $F_{0}, F_{1}, r_{F}, \sigma_{0}, \sigma_{1}$ and $r_{\sigma}$, optimizing them according to the prescription given in Section 5.4 in order to match the observations. This value is constrained by the gaseous kinematics measured in the outer regions which is not influenced by the presence of the central SMBH. The best fit to the observed data is found with $i=74^{\circ}$ and $\theta=6.5$. Nevertheless, in the central $\pm 0.25$ arcsec there is a great discrepancy between the model and observed kinematics (Fig. 15) which convinced us to reject this model as a reliable solution.

We performed also a simultaneous fit of the velocity curves leaving the parameters $M_{\bullet}(M / L)_{\star}, \theta$ and $i$ free to vary. The best-fitting parameters are $M_{\bullet} \leqslant 9 \times 10^{6} \mathrm{M}_{\odot},(M / L)_{\star}=2.5 \pm 2(\mathrm{M} / \mathrm{L})_{\odot}$ $\theta=15^{\circ} \pm 2^{\circ}$ and $i=75^{\circ} \pm 3^{\circ}$. Although for computational reasons this minimization is performed with all parameters for the intrinsic flux and velocity dispersion profiles fixed at the best values derived in Section 5.4, the similarity of the disc orientation found in this fit with respect to the one determined in Section 5.3 makes us confident about the choice of adopting the dust-lane morphology to constrain the orientation of the gaseous disc.

The previous results still rely on the assumption that the gas follows a coplanar distribution. However, the presence of a SMBH with a mass $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ could still be consistent with observed kinematics if the gas would warp to a more face-on orientation towards the central region. By exploring the $\theta$ and $i$ parameter space with models for the gas velocity field only within $\pm 0.25$ arcsec from the centre and with $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ and $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}$, we find that the observed kinematics could be explained if $i=28^{\circ}$ and $\theta=10^{\circ}$ (Fig. 16). The images, however, do not suggest such dramatic variation of the disc orientation, and in Fig. 8 in particular the dust lanes suggest a highly inclined configuration down to very small radii ( $r \sim 0.5$ arcsec).

### 6.3 Uncertainties due to the slit position

The upper limit we derived depends also on the assumed position of the slits. In Section 3.3, we determined the actual position of the slits by comparing the light profile of the spectrum with the light profiles extracted from the acquisition image. In the case that this procedure is not reliable, we try to find new slit positions (but


Figure 14. Comparison between the observed two-dimensional spectra (left-hand panels) and the two-dimensional synthetic spectra for (i) the bestfitting model, (ii) a model with $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ as predicted by the near-IR $M_{\bullet}-L_{\text {bulge }}$ relation and (iii) a model with $M_{\bullet}=5 \times 10^{7} \mathrm{M}_{\odot}$ as predicted by the $M_{\bullet}-\sigma_{\mathrm{c}}$ relation for the different slit positions. In the models, we added a random noise in order to mimic the actual $S / N$ ratio of the observed spectra. Each box is $15 \AA \times 2.6$ arcsec.
not changing their relative distance) in order to allow the presence of a $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$. We used the parameters $\theta=17^{\circ}, i=70^{\circ}$ and $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}$, and we find that the major-axis velocity gradient is well reproduced if we shift the slit of three STIS pixels. However, in that case, the kinematics along the parallel offset slits is not reproduced anymore: there are no ways to reproduce simultaneously all the slits. Having parallel slits provides a kinematic test for correct slit positioning, which confirmed the slit locations inferred in Section 3.3, using the acquisition images.

### 6.4 Effects of the flux distribution on the measurements

Without narrow-band images, we had to resort to an analytical description for the intrinsic surface brightness of the ionized gas (see Section 5.1.2). Our choice of an exponential radial profile for the emission-line fluxes seems well justified a posteriori given the good match to observed flux profiles along all slit positions, particularly towards the centre (see Fig. 9). However, as in the case of NGC 3245 (Barth et al. 2001), it is likely that unaccounted smallscale flux fluctuations are responsible for the poor match in many parts of the velocity curve (see Section 5.4) As far as the SMBH mass measurement is concerned, it is important to bear in mind our ignorance of the details characterizing the gas surface brightness


Figure 15. Observed $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ (filled circles) and fitted (solid line) kinematics in the innermost $\pm 2.5$ arcsec along the major axis of NGC 4435 obtained with $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ and $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}$ but allowing the position angle and inclination of the gaseous disc to vary. The central velocity gradient is not reproduced by any choice of disc inclination and position angle.
towards the very centre of NGC 4435. In particular, the presence of nuclear dust may represent a limitation for our analysis. If the very central regions, where the gas clouds are more directly affected by the gravitational pull of the SMBH , are affected by significant dust absorption, it is possible that gas further away from the centre and


Figure 16. Observed $\left[\mathrm{N}_{\text {II }}\right] \lambda 6583$ (filled circles) and fitted (solid and dotted lines) kinematics in the innermost $\pm 2.5$ arcsec along the major axis of NGC 4435. The solid lines correspond to a model obtained with $M_{\bullet}=$ $4 \times 10^{7} \mathrm{M}_{\odot},(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}, \theta=10^{\circ}$ and $i=28^{\circ}$ in the innermost $\pm 2.5$ arcsec. The dashed lines correspond to a model obtained with $M_{\bullet}=0 \mathrm{M}_{\odot},(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}, \theta=17^{\circ}$ and $i=70^{\circ}$.


Figure 17. Observed $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ (filled circles) and fitted (solid lines) kinematics in the innermost $\pm 2.5$ arcsec along the major axis of NGC 4435. The model is obtained using $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}, i=70^{\circ}, \theta=17^{\circ}$, $(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}$ and an ad hoc flux distribution to reproduce the central gradient. The predicted flux radial profile is completely inconsistent with the observed one.
moving at slower speed could contribute to the observed central velocity gradient and flux peak. If this were the case, we would be underestimating the SMBH mass.

We investigated this possibility by using a different model for the intrinsic gas surface brightness, including an additional central component with negative amplitude, to mimic a central flux depletion due to dust absorption. We have started by trying to accommodate a $4 \times 10^{7} \mathrm{M}_{\odot}$ SMBH. As shown by Fig. 17, to match the observed gas rotation significant dust absorption is required out to about 1 arcsec, but in this case the predicted flux distribution is inconsistent with the observed profile. The extent of the nuclear dust is a direct consequence of the fact that the observed rotation curve can be fitted without a SMBH (Fig. 9), so that dust has to screen the emission from regions where the SMBH can contribute significantly to the observed rotation curve. For $(M / L)_{\star}=2.2$, including a $4 \times 10^{7} \mathrm{M}_{\odot}$ SMBH still increases the circular velocity (equation 1) by $10 \mathrm{~km} \mathrm{~s}^{-1}$ at a radius of 55 pc , corresponding to 0.7 arcsec .

In this framework, to explain the observed flux profile we would need to resort to fine-tuned geometries for the gas distribution in which intervening off-plane material would contribute to the observed central peak in flux distribution. However, the exceptional regularity of the dust distribution in our images and the wellestablished connection between dust and gas (e.g. Pogge et al. 2000) strongly suggest that the gas resides on a simple disc.

Furthermore, the required amount of central flux depletion needed to accommodate a $4 \times 10^{7} \mathrm{M}_{\odot}$ SMBH would translate into an additional central reddening of $E(B-I)=1.3 \mathrm{mag}$ which should be easily detected in our map for the $E(B-I)$ colour excess (Fig. 5, in which the central value is 0.6 mag ). This is not the case, however. In this calculation, we had taken into account (i) the different values of the extinction $A_{\lambda}$ at different wavelengths (the $I$-band and the $\left[\mathrm{N}_{\mathrm{II}}\right] \lambda 6583$ region, using the standard Galactic extinction as done
in Cardelli et al. 1989) and (ii) that only the light from stars behind the dusty disc is absorbed.

We therefore consider it unlikely that nuclear dust could hide a SMBH consistent with the expectations of the $M_{\bullet}-\sigma_{c}$ relation. On the other hand, it is still possible that nuclear dust could impact our inferred upper limit on the SMBH mass while still matching the flux profile. In this case, however, the central dust cannot extend beyond the width of our slit. The impact on $M_{\text {. }}$ will therefore be rather limited; we find that our upper limit would increase by only few per cent, to $8 \times 10^{6} \mathrm{M}_{\odot}$. A Gaussian profile instead of an exponential profile for the flux distribution, as done by Sarzi et al. $(2001,2002)$, would have a similar small effect.

### 6.5 Correction for asymmetric drift

The velocity dispersion of the gas peaks at $100 \mathrm{~km} \mathrm{~s}^{-1}$ in the centre. This intrinsic linewidth is far higher than that expected either from rotational and instrumental broadening or from thermal motion. This finding is in agreement with earlier results on bulges of other disc galaxies based on both ground based (Fillmore, Boroson \& Dressler 1986; Bertola et al. 1995; Cinzano et al. 1999; Pignatelli et al. 2001) and STIS spectroscopy (Barth et al. 2001), and suggests that random motions may be crucial for the dynamical support of the gas. If ionized gas disc is fragmented into collisionless clouds, then dynamical pressure supports it against gravity and the mean rotational velocity $v_{\phi}$ is smaller than the circular velocity $v_{c}$ given in equation (1). As a consequence, the enclosed mass is underestimated by dynamical models which do not account for the asymmetric drift correction.

In the following, we address how much of an effect this would have on the difference between the velocity gradient we measured and that we inferred for gas rotating around a SMBH with $M_{\bullet}=$ $4 \times 10^{7} \mathrm{M}_{\odot}$ as predicted by the near-IR $M_{\bullet}-L_{\text {bulge }}$ scaling relation.

We assume that the motions in the gas disc are close to isotropic in the radial and vertical direction. Then $\sigma_{z}=\sigma_{r}$ and $\left\langle v_{r} v_{z}\right\rangle=0$, and the asymmetric drift correction can be expressed as
$v_{\mathrm{c}}^{2}-v_{\phi}^{2}=\sigma_{r}^{2}\left[-r \frac{\mathrm{~d} \ln v}{\mathrm{~d} r}-r \frac{\mathrm{~d} \ln \sigma_{r}^{2}}{\mathrm{~d} r}-\left(1-\frac{\sigma_{r}^{2}}{\sigma_{\phi}^{2}}\right)\right]$,
where $\sigma_{\phi}$ is the azimuthal velocity dispersion and $v$ is the number density of gas clouds in the disc (e.g. Binney \& Tremaine 1988). We assume that the number density of the gas clouds is proportional to the gas flux whose radial profile has been parametrized in equation (3). The relation between $\sigma_{r}$ and $\sigma_{\phi}$ is given by the epicycle approximation
$\frac{\sigma_{\phi}^{2}}{\sigma_{r}^{2}}=\frac{-B}{A-B}$,
where $A$ and $B$ are the Oort constants given by
$A=\frac{1}{2}\left(\frac{v_{\mathrm{c}}}{r}-\frac{\mathrm{d} v_{\mathrm{c}}}{\mathrm{d} r}\right)$
and
$B=-\frac{1}{2}\left(\frac{v_{\mathrm{c}}}{r}+\frac{\mathrm{d} v_{\mathrm{c}}}{\mathrm{d} r}\right)$.
The approximation is strictly valid in the limit $\sigma \ll v_{\mathrm{c}}$. If we assume that the SMBH mass is given by the near-IR $M_{\bullet}-L_{\text {bulge }}$ relation, we have a ratio $\sigma_{r} / v_{\mathrm{c}}<0.32$ everywhere in the gaseous disc of NGC 4435 (Fig. 18) and the method can be adopted for at least an approximate treatment of the asymmetric drift. After calculating the asymmetric drift, we obtained the line-of-sight velocity and velocity


Figure 18. The ratio $\sigma_{r} / v_{\mathrm{c}}$ for the model with $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$.


Figure 19. Upper panel: the observed [ $\left.\mathrm{N}_{\text {II }}\right] \lambda 6583$ (filled circles) along the major axis of NGC 4435 is compared to the one obtained with $M_{\bullet}=$ $4 \times 10^{7} \mathrm{M}_{\odot},(M / L)_{\star}=2.2(\mathrm{M} / \mathrm{L})_{\odot}, \theta=17^{\circ}$ and $i=70^{\circ}$ with (solid line) and without (dashed line) applying the asymmetric drift correction. Lower panel: ratio between the rotation curves obtained with and without applying the asymmetric drift correction.
dispersion as
$v=v_{\phi}\left(\frac{y \sin i}{r}\right)$
and
$\sigma=\left(\sigma_{\phi}^{2}-\sigma_{r}^{2}\right)\left(\frac{y \sin i}{r}\right)^{2}+\sigma_{r}^{2}$,
respectively. These values of $v$ and $\sigma$ are used in equation (9) for the model calculation. For models without an asymmetric drift, the above equations reduce to $v_{\mathrm{c}}=v_{\phi}$ and $\sigma=\sigma_{r}=\sigma_{\phi}$, corresponding to the case where the intrinsic velocity dispersion represents a locally isotropic turbulence within the gaseous disc.

We applied the asymmetric drift correction for $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ and found that the contribution of intrinsic velocity dispersion to the rotation curve is negligible ( $\leqslant 5$ per cent). The comparison between the model rotation curves obtained along the galaxy major axis with
and without the asymmetric drift correction is shown in Fig. 19. We can conclude that the contribution of the velocity dispersion is not enough to allow the presence of $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ in the nucleus of NGC 4435.

## 7 CONCLUSIONS

We presented long-slit STIS measurements of the ionized gas kinematics in the nucleus of the SB0 NGC 4435 . NGC 4435 belongs to a sample of three galaxies which were selected as STIS targets on the basis of their CNKD kinematics (Bertola et al. 1998).

For NGC 4435, we found the following conclusions.
(i) It shows a CNKD with a symmetric and regular ionized gas kinematics, which is suitable for dynamical modelling in order to estimate the mass of the central SMBH. Moreover, NGC 4435 is characterized by the presence of smooth and circularly symmetric dust lanes. This result is in agreement with early findings of Ho et al. (2002) who found an empirical correlation between the morphology of the dust lanes and the degree of regularity in the gas velocity field.

Bertola et al. (1998) demonstrated that it is possible to detect the signature of a CNKD in the emission-line PVDs obtained from the ground-based spectroscopy of nearby galaxies. Using this result, Funes et al. (2002) estimated that the frequency of CNKDs in randomly selected emission-line disc galaxies is $\lesssim 20$ per cent. However, this criterion has to be combined with that of the presence of a regular dust-lane morphology (Ho et al. 2002). On the basis of our STIS observations, we conclude that less than 10 per cent of nearby disc galaxies, which show narrow emission lines in their ground-based spectra, host a CNKD with a velocity field which is useful for dynamical modelling at $H S T$ resolution.
(ii) We modelled the ionized gas velocity field of NGC 4435 by assuming the gas is moving on to circular orbits in an infinitesimally thin disc located around the SMBH. We constrained the orientation of the gaseous disc $\left(\theta=17^{\circ} \pm 1^{\circ}\right.$ and $\left.i=70^{\circ} \pm 2^{\circ}\right)$ by assuming that the morphology of dust lanes is tracing the gas distribution. The comparison between the observed and modelled velocity field has been done by taking into account several effects related to telescope and instrument optics (non-zero aperture size, apparent wavelength shifts for light entering the slit off centre and anamorphic magnification) as well as detector readout (charge bleeding between adjacent CCD pixels). We derived for the SMBH mass of NGC 4435 an upper limit $M_{\bullet} \leqslant 7.5 \times 10^{6} \mathrm{M}_{\odot}$ at $3 \sigma$ confidence level.
(iii) The upper limit we derived for the SMBH mass of NGC 4435 falls considerably short of the value of $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ predicted by the $M_{\bullet}-\sigma_{c}$ relation (Ferrarese \& Ford 2005), as well as of the value of $M_{\bullet}=5 \times 10^{7} \mathrm{M}_{\odot}$ predicted by the near-IR $M_{\bullet}-$ $L_{\text {bulge }}$ relation (Marconi \& Hunt 2003) as shown in Fig. 13. This discrepancy is not due to the noise in our spectra (Section 6.1), but is robust against uncertainties in the disc orientation (Section 6.2) or slit positioning (Section 6.3), and cannot be ascribed to the presence of nuclear dust (Section 6.4) or pressure-supported gas (Section 6.5). The measured velocity gradient is consistent with $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ only if we assume an ad hoc orientation $\left(\theta=10^{\circ} \pm 1^{\circ}\right.$ and $i=28^{\circ}$ $\pm 2^{\circ}$ ) for the central portion of the gaseous disc ( $\left.|r| \leqslant 0.25 \operatorname{arcsec}\right)$. However, the images do not suggest such a dramatic variation of the disc orientation in the observed radial range.
(iv) The case of NGC 4435 is very similar to that of the elliptical NGC 4335 (Verdoes Kleijn et al. 2002), where the ionized gas kinematics measured with STIS along three parallel positions is consistent with that of a CNKD rotating around a SMBH with an unusually low mass $\left(M_{\bullet} \leqslant 10^{8} \mathrm{M}_{\odot}\right)$ for its high velocity
dispersion ( $\sigma_{\mathrm{c}}=300 \pm 25 \mathrm{~km} \mathrm{~s}^{-1}$, see Fig. 13). Both NGC 4435 and NGC 4335 are amenable to gas dynamical modelling. Their nuclear discs of ionized gas have a well-constrained orientation as well as a symmetric and regular velocity field. There is no evidence that gas is affected by non-gravitational motions or that it is not in equilibrium, as observed for instance in the E3 galaxy IC 1459 (Cappellari et al. 2002) and the Sbc NGC 4041 (Marconi et al. 2003). For NGC 4335, the observed high gas velocity dispersion in the central arcsec potentially invalidates the $M_{\text {. }}$ measurement based on a thin rotating disc model leading to a larger $M_{\text {. }}$ value and to a smaller discrepancy with the $M$. scaling laws. In contrast, in NGC 4435 the more limited width of the lines allowed us to consider the possibility of a modest dynamical support for the gas clouds. This has little impact on the measured $M_{\bullet}$ and cannot explain its discrepancy with the values predicted by the $M$. scaling relations. As such, if we suppose the resulting upper limit for the SMBH mass of NGC 4435 is also unreliable, we are then forced to conclude that all the SMBH masses derived from gaseous kinematics following standard assumptions have to be treated with caution, even if they agree with the predictions of the scaling relations.

Thus, NGC 4435 is so far the best candidate for a galaxy with a massive bulge component with a lower $M_{\text {• content than pre- }}$ dicted by the $M_{\bullet}-\sigma_{\mathrm{c}}$ and $M_{\bullet}-L_{\text {bulge }}$ scaling relations. Nevertheless, there is increasing evidence of galaxies for which dynamical models impose an upper limit to the SMBH mass which is either lower than or marginally consistent with the one predicted by the $M_{\bullet}-\sigma_{c}$ (Gebhardt et al. 2001; Merritt, Ferrarese \& Joseph 2001; Sarzi et al. 2001; Valluri et al. 2005). These objects may represent the population of 'laggard' SMBHs with masses below the $M_{\bullet}-\sigma_{\mathrm{c}}$ relation as discussed by Vittorini, Shankar \& Cavaliere (2005). Laggard SMBHs are expected to reside in galaxies that spent most of their lifetime in the field, where encounters are late and rare so as to cause only slow gas fuelling of the galactic centre and a limited growth of the SMBH mass.

In light of the serious implications on the slope and intrinsic scatter of the $M_{\bullet}$ relations that our results would imply, an independent measurement of the SMBH mass of NGC 4435 based on stellar dynamical modelling is highly desirable. This could be done with near-IR spectroscopic observations with $8-\mathrm{m}$ class telescopes assisted by adaptive optics systems. Recently, Houghton et al. (2005) have shown in the case of the giant elliptical NGC 1399 that this technique can deliver diffraction-limited high signal-to-noise ratio spectra suitable for measuring the stellar LOSVD within the sphere of influence of the SMBH, providing a concrete alternative to $H S T$ which today is in serious jeopardy.

## ACKNOWLEDGMENTS

EDB acknowledges the Fondazione 'Ing. Aldo Gini' for a research fellowship and the Herzberg Institute of Astrophysics, Victoria, BC, for hospitality while this paper was in progress. We wish to thank Aaron Barth and Laura Ferrarese for stimulating discussions, and Alfonso Cavaliere and Valerio Vittorini for providing us their results about laggard SMBHs prior to publication. We are indebted to Jairo Mendez Abreu for the package which we used for measuring the photometric parameters of NGC 4435. This research has made use of the Lyon-Meudon Extragalactic Database (LEDA) and of the NASA/IPAC Extragalactic Database (NED). We would like to thank the anonymous referee for constructive comments.

The work is based on observations with the NASA/ESA HST obtained at STScI, which is operated by the Association of Uni-
versities for Research in Astronomy, Incorporated, under NASA contract NAS5-26555.

## REFERENCES

Baes M., Buyle P., Hau G. K. T., Dejonghe H., 2003, MNRAS, 341, L44
Barth A. J., 2004, in Ho L. C., ed., Coevolution of Black Holes \& Galaxies. Cambridge Univ. Press, Cambridge, p. 21
Barth A. J., Sarzi M., Rix H.-W., Ho L. C., Filippenko A. V., Sargent W. L. W., 2001, ApJ, 555, 685

Bernardi M., Alonso M. V., da Costa L. N., Willmer C. N. A., Wegner G., Pellegrini P. S., Rité C., Maia M. A. G., 2002, AJ, 123, 2990
Bertola F., Cinzano P., Corsini E. M., Rix H.-W., Zeilinger W. W., 1995, ApJ, 448, L13
Bertola F., Cappellari M., Funes J. G., Corsini E. M., Pizzella A., Vega Beltrán J. C., 1998, ApJ, 509, L93
Binney J., Tremaine S., 1988, Galactic Dynamics. Princeton Univ. Press, Princeton, NJ
Bowers C., Baum S., 1998, STIS Instrument Science Report. STScI, Baltimore, p. 98
Brown T. et al., 2002, in Mobasher B., ed., HST STIS Data Handbook, version 4.0. STScI, Baltimore
Cappellari M., Verolme E. K., van der Marel R. P., Verdoes Kleijn G. A., Illingworth G. D., Franx M., Carollo C. M., de Zeeuw P. T., 2002, ApJ, 578, 787
Cardelli J. A., Clayton G. C., Mathis J. S. 1989, ApJ, 345, 245
Cinzano P., Rix H.-W., Sarzi M., Corsini E. M., Zeilinger W. W., Bertola F., 1999, MNRAS, 307, 433
de Vaucouleurs G., de Vaucouleurs A., Corwin H. G., Jr, Buta R. J., Paturel G., Fouquè P., 1991, Third Reference Catalogue of Bright Galaxies. Springer-Verlag, New York (RC3)
Ferrarese L., 2002, ApJ, 578, 90
Ferrarese L., Ford H., 2005, Space Sci. Rev., 116, 523
Ferrarese L., Merritt D., 2000, ApJ, 539, L9
Fillmore J. A., Boroson T. A., Dressler A., 1986, ApJ, 302, 208
Funes J. G., Corsini E. M., Cappellari M., Pizzella A., Vega Beltrán J. C., Scarlata C., Bertola F., 2002, A\&A, 388, 50
Gebhardt K. et al., 2000, ApJ, 539, 13
Gebhardt K. et al., 2001, AJ, 122, 2469
Ghez A. M. et al., 2003, ApJ, 586, L127
Graham J. A. et al., 1999, ApJ, 516, 626
Graham A. W., Erwin P., Caon N., Trujillo I., 2001, ApJ, 563, L11
Guthrie B. N. G., 1992, A\&AS, 93, 255
Häring N., Rix H.-W., 2004, ApJ, 604, L89
Ho L. C., Sarzi M., Rix H.-W., Shields J. C., Rudnick G., Filippenko A. V., Barth A. J., 2002, PASP, 114, 137
Holtzman J. A., Burrows C. J., Casertano S., Hester J. J., Trauger J. T., Watson A. M., Worthey G., 1995, PASP, 107, 1065
Houghton R. C. W., Magorrian J., Sarzi, Thatte N., Davies R. L., Krajnovic D., 2005, MNRAS, in press (astro-ph/0510278)

Jarrett T. H., Chester T., Cutri R., Schneider S., Skrutskie M., Huchra J. P., 2000, AJ, 119, 2498
Jorgensen I., Franx M., Kjaergaard P., 1995, MNRAS, 276, 1341
Kinney A. L., Calzetti D., Bohlin R. C., McQuade K., Storchi-Bergmann T., Schmitt H. R., 1996, ApJ, 467, 38
Kormendy J., 2001, Rev. Mex. Astron. Astrofis. Ser. Conf., 10, 69
Kormendy J., 2004, in Ho L. C., ed., Coevolution of Black Holes \& Galaxies. Cambridge Univ. Press, Cambridge, p. 1
Kormendy J., Richstone D., 1995, ARA\&A, 33, 581
Krist J., Hook R., 1999, The Tiny Tim User's Guide. STScI, Baltimore
Maciejewski W., Binney J., 2001, MNRAS, 323, 831
Magorrian J. et al., 1998, AJ, 115, 2285
Marconi A., Hunt L. K., 2003, ApJ, 589, 21
Marconi A. et al., 2003, ApJ, 586, 868
Mendez Abreu J., Corsini E. M., Aguerri J. A. L., 2004, in Dettmar R., Klein U., Salucci P., eds, Baryons in Dark Matter Halos. SISSA, Trieste, p. 83.1

Merritt D., Ferrarese L., Joseph C. L., 2001, Sci, 293, 1116
Miyoshi M., Moran J., Herrnstein J., Greenhill L., Nakai N., Diamond P., Inoue M., 1995, Nat, 373, 127
Monnet G., Bacon R., Emsellem E., 1992, A\&A, 253, 366
Pignatelli E. et al., 2001, MNRAS, 320, 124
Pizzella A., Corsini E. M., Morelli L., Sarzi M., Scarlata C., Stiavelli M., Bertola F., 2002, ApJ, 573, 131
Pizzella A., Corsini E. M., Dalla Bontà E., Sarzi M., Coccato L., Bertola F., 2005, ApJ, 631, 785
Pogge R. W., Maoz D., Ho L. C., Eracleous M., 2000, ApJ, 532, 323
Press W. H., Teukolsky S. A., Vetterling W. T., Flannery B. P., 1992, Numerical Recipes in Fortran 77, 2nd edn. Cambridge Univ. Press, Cambridge
Rubin V. C., Kenney J. D. P., Young J. S., 1997, AJ, 113, 1250
Sarzi M. et al., 2001, ApJ, 550, 65
Sarzi M. et al., 2002, ApJ, 567, 237
Simien F., Prugniel Ph., 1997, A\&AS, 126, 15
Sofue Y., Tomita A., Tutui Y., Honma M., Takeda Y., 1998, PASJ, 50, 427
Tonry J., Davis M., 1981, ApJ, 246, 666
Valluri M., Ferrarese L., Merritt D., Joseph C. L., 2005, ApJ, 628, 137
van Dokkum P. G., 2001, PASP, 113, 1420
Verdoes Kleijn G. A., van der Marel R. P., de Zeeuw P. T., Noel-Storr J., Baum S. A., 2002, AJ, 124, 2524
Vittorini V., Shankar F., Cavaliere A., 2005, MNRAS, 363, 1376
Whitmore B., 1995, in Koratkar A., Leitherer C., eds, Calibrating Hubble Space Telescope: Post Servicing Mission. STScI, Baltimore, p. 269

## APPENDIX A: CALCULATION <br> OF THE COLOUR EXCESS $E^{\prime}(B-I)$ IN THE <br> CASE OF $M_{\bullet}=4 \times 10^{7} M_{\odot}$

In this section, we evaluate the colour excess $E^{\prime}(B-I)$ we would expect in the case that $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ is present and the absorption of the central dust is able to reproduce the observed kinematics (see Section 6.4). If the ionized gas is settled in the equatorial plane as well as the dust, the intrinsic flux corrected by absorption ( $\left.F^{\text {abs }}\right)$ will be related to the intrinsic one ( $F^{\mathrm{ems}}$ ) by the relation
$F^{\mathrm{abs}}=10^{-0.4 A_{[\mathrm{NII}]}} F^{\mathrm{ems}}=(1-c) F^{\mathrm{ems}}$.
The coefficient $c$ indicates the efficiency of the absorption, and it can easily be derived (see Fig. A1). For $c=1, F^{\text {abs }}=0$; for $c=0$, $F^{\mathrm{abs}}=F^{\mathrm{ems}}$. Using the standard Galactic extinction (Cardelli et al. 1989), we can determine the relation between the extinction $A_{\text {[NII] }}$ and the extinction in the $I$ band, $A_{I}$ :
$A_{I}=0.588 A_{[\mathrm{NII]}}$.
The central stellar component (bulge plus disc) is thicker with respect to the ionized gas and the dust discs. Thus, only the portion of stars behind the dust layer is absorbed:
$F_{\mathrm{star}}^{\mathrm{abs}}=\frac{1}{2} F_{\mathrm{star}}^{\mathrm{ems}}+\frac{1}{2} 10^{-0.4 A_{I}} F_{\mathrm{star}}^{\mathrm{ems}}=\frac{1+10^{-0.4 A_{I}}}{2} F_{\mathrm{star}}^{\mathrm{ems}}$.


Figure A1. Intrinsic flux radial profile of the gas in the NGC 4435 model. The solid thin line shows the intrinsic emission of our best model, whereas the solid thick line shows the absorbed emission needed to accommodate a $M_{\bullet}=4 \times 10^{7} \mathrm{M}_{\odot}$ and match the observed velocities (Fig. 17). The dashed line shows the efficiency of the dust absorption, $c$, as defined in the text.

What we should observe is the extinction $A_{I}^{\prime}$ defined by
$F_{\text {star }}^{\text {abs }}=10^{-0.4 A_{l}^{\prime}} F_{\text {star }}^{\mathrm{ems}}$
with (comparing equations A3 and A4)
$A_{I}^{\prime}=-2.5 \log \frac{1+10^{-0.4 A_{I}}}{2}$.
Then, according to the standard Galactic extinction (Cardelli et al. 1989), the expected colour excess $E^{\prime}(B-I)$ is
$E^{\prime}(B-I)=A_{I}^{\prime} / 0.5583$.
Then, combining equations (A1), (A2), (A5) and (A6), it is possible to calculate the map of $E^{\prime}(B-I)$ as a function of $c$, derived from Fig. A1.
$E^{\prime}(B-I)=-4.48 \log \frac{1+(1-c)^{0.588}}{2}$.
In the central region, where $c=1$ the expected colour excess is 1.3 mag, while the observed one is 0.6 mag (Fig. 5).

This paper has been typeset from a $\mathrm{T}_{\mathrm{E}} \mathrm{X} / \mathrm{L}_{\mathrm{E}} \mathrm{X}$ file prepared by the author.


[^0]:    ${ }^{1}$ Scientific Imaging Technologies, Tigard, OR, USA.

[^1]:    ${ }^{2}$ IRAF is distributed by NOAO, which is operated by AURA Inc., under contract with the National Science Foundation.

[^2]:    ${ }^{3}$ Interactive Data Language is distributed by Research Systems Inc., Boulder, CO, USA.

